

**APPENDIX A PROGRESS AGAINST THE 2018/19 AUDIT PLAN AT 6 JULY 2018**

AUDITABLE AREA	LEVEL OF ASSURANCE	RECS			AUDIT PLAN DAYS	LEAD AUDITOR ASSIGNED	BILLABLE DAYS COMPLETED	STATUS/COMMENT
		H	M	MA				
<b>Key Financial Systems</b>								
Benefits (shared plan)					13	Yes	1	Parameter testing complete – remainder of audit due Q3
Council Tax (shared plan)					12	Yes	0	Allocated
Creditors (shared plan)					9	Yes	0	Allocated
Debtors (shared plan)					9	Yes	0	Allocated
Main Accounting (shared plan)					10	Yes	0	Allocated
NDR (shared plan)					12	Yes	1	Parameter testing complete – remainder of audit due Q3
Payroll (shared plan)					10	Yes	0	Allocated
Treasury Management (shared plan)					5	Yes	0	Allocated
Budget Monitoring (shared plan)					5	Yes	0	Allocated
<b>Operational Audits</b>								
Agency Spend (shared plan)					10	Yes	4	In fieldwork
GDPR Preparedness					12	Yes	11.5	Draft report issued
GDPR Post implementation review					8	Yes	0	Allocated
Parking Strategy					10	Yes	0	Allocated
Temporary Accommodation					8	Yes	0	Allocated
Commercial strategy					10	BDO	0	Allocated

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		H	M	MA				
Grants					6	Yes	4	In fieldwork
Equality Impact Assessments					5	Yes	4.5	Draft report issued
Home Improvement Agency					3	Yes	0	Allocated
DFG Capital Grant Certification					1	Yes	0	Allocated
<b>Procurement</b>								
No audits								
<b>Counter Fraud</b>								
No audits								
<b>Risk Management and Governance</b>								
Risk Management					5	Yes	0	Allocated
<b>IT Audits</b>								
Cyber Security (shared plan)					12	BDO	0	Allocated
IT Operations (shared plan)					20	BDO	0	Allocated
IT Contract Management (shared plan)					15	BDO	0	Allocated
<b>SIAS Joint Work</b>								
Shared Learning Newsletters					3	N/A	0.5	Through year
Joint Reviews– topics to be determined					2	Yes	0	Allocated

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		H	M	MA				
<b>Ad Hoc Advice</b>								
Ad Hoc Advice					2		0.5	Through year
<b>To Be Allocated</b>								
Unused contingency (shared plan)					0		0	
<b>Strategic Support</b>								
Head of Internal Audit Opinion 2017/18					2	N/A	2	Complete
External Audit Liaison					1	N/A	0	Through year
Audit Committee					10	N/A	2	Through year
Monitoring & Client Liaison					11	N/A	2	Through year
2019/20 Audit Planning					7	N/A	0	Due quarter 4
SIAS Development					3	N/A	3	Complete
AGS					3	N/A	3	Complete
Follow-up of recommendations					10	N/A	2	Through year
<b>Completion of 2017/18 audits</b>								
Time required to complete work commenced in 2017/18 (6 days shared plan; 5 days WBC)					11	N/A	11	Complete
<b>WBC TOTAL</b>					<b>127</b>		<b>40</b>	

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<b>SHARED SERVICES TOTAL</b>					<b>148</b>		<b>12</b>	
<b>COMBINED TOTAL</b>					<b>275</b>		<b>52</b>	

Key to recommendation priority levels:

H = High

M = Medium

MA = Merits attention

N/A = Not applicable